

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

PATENT  
Attorney Docket No.: 18623-005890US  
Client Reference No.: EPI 0058.90 US

On 9/3/99

TOWNSEND and TOWNSEND and CREW LLP

By: [Signature]

#11

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Howard M. Grey et al.

Application No.: 09/017,735

Filed: 2/3/98

For: HLA-A2.1 BINDING PEPTIDES  
AND THEIR USES

Examiner: Ronald B. Schwadron, Ph.D.

Art Unit: 1644

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and

no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

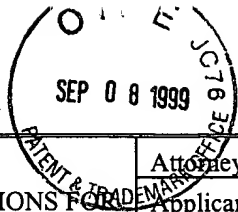
Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Jean M. Lockyer  
Reg. No.

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 415-576-0200  
Fax: 415-576-0300  
JML

SF 1018448 v1



FORM PTO-1449 (Modified)

Attorney Docket No.: 18623-005890US

Application No.: 09/017,735

LIST OF PATENTS AND PUBLICATIONS FOR

Applicant: Howard M. Grey et al.

APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT (Use several sheets if necessary)

Filing Date: 2/3/98

Group: 1644

## Reference Designation

## U.S. PATENT DOCUMENTS

Page 1

| Examiner Initial | Document No. | Date | Name | Class | Sub-class | Filing Date<br>(If Appropriate) |
|------------------|--------------|------|------|-------|-----------|---------------------------------|
| ___ AA           |              |      |      |       |           |                                 |
| ___ AB           |              |      |      |       |           |                                 |
| ___ AC           |              |      |      |       |           |                                 |
| ___ AD           |              |      |      |       |           |                                 |
| ___ AE           |              |      |      |       |           |                                 |
| ___ AF           |              |      |      |       |           |                                 |
| ___ AG           |              |      |      |       |           |                                 |
| ___ AH           |              |      |      |       |           |                                 |
| ___ AI           |              |      |      |       |           |                                 |
| ___ AJ           |              |      |      |       |           |                                 |
| ___ AK           |              |      |      |       |           |                                 |
| ___ AL           |              |      |      |       |           |                                 |
|                  |              |      |      |       |           |                                 |

## FOREIGN PATENT DOCUMENTS

|        | Document No. | Date | Country | Class | Sub-class | Translation<br>(Yes/No) |
|--------|--------------|------|---------|-------|-----------|-------------------------|
| ___ AM |              |      |         |       |           |                         |
| ___ AN |              |      |         |       |           |                         |
| ___ AO |              |      |         |       |           |                         |
| ___ AP |              |      |         |       |           |                         |
| ___ AQ |              |      |         |       |           |                         |
| ___ AR |              |      |         |       |           |                         |
|        |              |      |         |       |           |                         |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|        |   |
|--------|---|
| ___ AS | Rotzschke <i>et al.</i> , "Peptide motifs of closely related HLA class I molecules encompass substantial differences" <i>Eur. J. Immunol.</i> 22:2453-2456 (1992) |
| ___ AT |   |
| ___ AU |   |
| ___ AV |   |
| ___ AW |   |
|        |   |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.